Receipt date: 10/27/2006

10005862 - GAU: 3623

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 10005862 Filing Date 2006-10-27 First Named Inventor L. Mark Ernest Art Unit 3623 Examiner Name Beth Van Doren Attorney Docket Number FIS920000304US1

					U.S.I	PATENTS			Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	Date	of cited Document			Pages,Columns,Lines where Relevant Passages or Relevan Figures Appear		
/B.B./	1	6065009		2000-05	5-16	Leymann et al.					
If you wisl	h to ac	⊔ dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add		
U.S.PATENT APPLICATION PUBLICATIONS Remove											
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	ation	Name of Pate of cited Docu	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear				
/B.B./	1										
If you wisl	h to ac	dd additional U.S. Publi	shed Ap	plication	citation	n information p	please click the Add	d button	Add		
				FOREIG	GN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Kind Code <sup>2</sup> j		Publication Date	Applicant of cited		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		T5	
/B.B./	1	EP0895169A2	EP			1999-02-03					
If you wisl	h to ac	ı dd additional Foreign Pa	atent Do	cument	citation	information pl	ease click the Add	button	Add		
			NON	I-PATEN	NT LITE	RATURE DO	CUMENTS		Remove		
Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							T5				

Receipt date: 10	)/2//2006	Application Number		10005862 - GAU: 3623		
		Filing Date		2006-10-27		
	DISCLOSURE	First Named Inventor L. Mark Ernest				
(Not for submission	BY APPLICANT	Art Unit		3623		
( NOT IOT SUDIIIISSION	under 37 OFK 1.99)	Examiner Name	Beth	ı Van Doren		
		Attorney Docket Numb	er	FIS920000304US1		
1						
If you wish to add add	itional non-patent literatu	re document citation info	matio	n please click the Add I	button Add	
		EXAMINER SIGNA	TURE			
Examiner Signature	/Beth Boswell/			Date Considered	12/03/2008	
	· · · · · · · · · · · · · · · · · · ·	nether or not citation is in ed. Include copy of this fo				ugh a
Standard ST.3). <sup>3</sup> For Japa		ISPTO.GOV or MPEP 901.04. 2	of the E	mperor must precede the se	rial number of the pa	itent document.

<sup>&</sup>lt;sup>4</sup> Kind of document by the appropriate sym English language translation is attached.

		4000F000 C & H. 0000			
Receipt date: 10/27/2006	Application Number		10005862	10005862 - GAU: 362	
INFORMATION BIOCH COURT	Filing Date		2006-10-27		
	First Named Inventor	L. Ma	ark Ernest		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		3623		
(Notion Submission under or or it 1.00)	Examiner Name	Beth \	/an Doren		
	Attorney Docket Number	er	FIS920000304US1		

**CERTIFICATION STATEMENT** 

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):									
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR									
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached certification statement.								
×	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.								
	None								
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Sigr	nature	/Yuanmin Cai/	Date (YYYY-MM-DD)	2006-10-27					
Name/Print		Yuanmin Cai	Registration Number	56513					
pub 1.14 app	lic which is to file ( l. This collection i lication form to the	mation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an applications estimated to take 1 hour to complete, inclued USPTO. Time will vary depending upon the is form and/or suggestions for reducing this	n. Confidentiality is govern ding gathering, preparing a e individual case. Any com	ned by 35 U.S.C. 122 and 37 CFR and submitting the completed aments on the amount of time you					

Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria,** 

VA 22313-1450.

Receipt date: 10/27/2006 10005862 - GAU: 3623

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.